# ISTANBUL FRAGMENT IN 'PHAGS-PA AND OLD UYGHUR SCRIPT REVISITED<sup>1</sup>

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#### Abstract

This article represents a joint effort of a Turcologist and a Mongolist to present a new interpretation of a bilingual fragment kept in the museum section of the library of the Istanbul University and probably dating from the fifteenth century. This is likely the latest text written in 'Phags-pa Mongolian script and one of the earliest samples of pre-Chagatay Turkic in Old Uyghur script.

#### Résumé

Cette article est le fruit de la recherche conjointe d'un turcologue et d'un mongolisant. Elle présente une interprétation nouvelle d'un fragment bilingue conservé au musée de l'Université d'Istanbul et datant probablement du XV<sup>e</sup> siècle. Ce texte, peut-être le dernier qui fut écrit en alphabet mongol 'Phags-pa, un alphabet mongol, est aussi l'un des premiers exemples de turc pré-Chagatay en écriture vieux ouïghour.

An interesting bilingual fragment is kept in the museum section of the library of the Istanbul University (F 1423). It is found in the fifteenth century compendium Mecma<sup>c</sup>u'l-cacāyib 'Collection of curiosities' that deals with various books, samples of calligraphy, and other curiosities (see Plate III for its cover page). This fragment is in Middle Mongolian written in the 'Phags-pa script and in pre-Chaghatay in Old Uyghur script. Both texts are located on the same page accompanied with a translation to Arabic (Plate II). This fragment was initially published by Süheyl Ünver (Ünver 1958: 57-58), who has provided only photographs from the manuscript without any transcription, translation, or discussion, with the 'Phags-pa text reproduced on p. 57 and Turkic text on p. 58 of his publication. It was consequently published by Tuna and Bosson (1962), who offered an exhaustive commentary on the provenance and the description of the fragment, which we will not repeat here. It was published again several times, with or without reproduction of the original (Ligeti 1972: 123), (Damdinsüren 1986: 90), (Junast 1991: 216-219 + plate), (Tömörtogoo: 2002: 66-67), (Jančiv 2002: 144), (Hugjiltu & Sarula 2004: 514-515 + plate 52), (Sertkaya 2006)<sup>2</sup>, (Tumurtogoo 2010: 115-116 + plate 49), however, none of these studies is as detailed as Tuna and Bosson (1962). Nevertheless, we believe that in spite of the comprehensive nature of the research by Tuna and Bosson (1962), the further improvement of the description of the 'Phags-pa script used in the fragment and its reading, as well as of the linguistic analysis, and the translation of the Middle Mongolian text are possible. In particular, we believe that obscure places in the Middle Mongolian text can be clarified on the basis of the pre-Chaghatay Turkic text, which apparently represents almost word-to-word translation of the former.

The photograph published by Tuna and Bosson (1962: 10) is barely legible. Fortunately, recently Mehmet Ölmez was able to purchase a perfectly legible copy of this fragment, which we present at the end of this short article as Plate I. It is exactly this copy that allowed us to come to a number of readings and solutions different from Tuna and Bosson (1962).

The text in 'Phags-pa is preceded by the following phrase in Arabic (Plates I and II) that we reproduce here together with its romanization and translation:



وهذًّا خطُّ يكتُبونَه في الخِطَاى والقاآن وُ يُسمُنونه بالدَّورَبلجِين

wa hāzā ḫaṭṭʰʰ yaktubūna fi'l-ḫitāy wa'l-qā'ān wa yusammūnahu bil-dūrbalǧīn

They were using this script in Cathay between Kha'ans and they call it as dörbeljin.

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 $<sup>^{\</sup>rm I}$  We thank Bayarma Khabtagaeva and Juha Janhunen for their valuables comments. All mistakes and shortcomings remain our responsibility.

<sup>&</sup>lt;sup>2</sup> This is the first publication of the fragment photograph in color.

Moving now onto the Mongolian text itself (Plates I and II, see also below), we should first mention that, as Tuna and Bosson correctly noted, all initial vowels in the 'Phags-pa text are preceded by 'a-chung sign  $\sqsubseteq$ , a phenomenon quite rare in other 'Phags-pa texts (1962: 9). We believe that this usage might have been influenced by the comparable usage of  $\vdash$  'ālif in the Arabic script. Tuna and Bosson did not comment, however, on another interesting fact: namely, both MM u and  $\ddot{u}$  are spelled as  $\Theta u$ . Letters & c and & c do not occur in the fragment, but there is a graphic MM contrast between & c and & c although it probably does not reflect the actual phonology, cf. more typical 'Phags-pa & c body' (XII: 1, 5)<sup>4</sup> vs. the variation  $& b\dot{e}ye$ - and & beya- in this fragment.

### Middle Mongolian text in the 'Phags-pa script

- 2.  $\mathsf{RRRPRESTREPRES$
- 4. PHIC3DSHSUMERGERURHEHRSHURS

### Transliteration of the 'Phags-pa script

- 1. Qubulyi q'nu j'rliq bolurun t 'uru'uth
- 2. minu mon qoyin 'ulus 'irgeni quriybsu
- 3. gesu bėyeyi 'nu quriytl sethgili 'nu 🗘
- 4. quriybsu set<sup>h</sup>gili 'nu quriyč<sup>h</sup> beys
- 5. 'nu q''e ... 'uthqun 🗘 birm hmd b[ol]byi 🗘

# Transcription of the Middle Mongolian text in the 'Phags-pa script with morphemic analysis

- 1. Qubulayi qa'an-u jarliq bol-urun ta 'uru'-ud
- 2. min-u mon-a qoyina 'ulus 'irgen-i quriya-basu
- 3. gesü bėye-yi-'anu quriya-tala sedgil-i-'anu
- 4. quriya-basu sedgil-i-'anu quriya-ča beya-s-
- 5. 'anu qa'a 'e'üt-kü-n 🖒 birma hamd b[ol]-bai 🗘

# Glossing of the Middle Mongolian text in the 'Phags-pa script

- Qubilai qayan-GEN edict become-CP all descendant-PLUR
- I-GEN this-DAT.LOC after country people-ACC gather-COND
- 3. state body-ACC-3PP gather-CT mind-ACC-3PP
- 4. gather-COND mind-ACC-3PP gather-NML body-PLUR-
- 5. 3PP where erect-PFPART-PLUR 🖒 firma[n] praise be-PAST 🏠

# Translation of the Middle Mongolian text in the 'Phags-pa script

(5) [I] wrote down the praiseworthy imperial decree, (1) the edict of Qubilai qayan that says: "All [my] descendants (2) when [you] gather people of the country after me (4) when you gather (3) their minds until gathering the bodies [of the] state people, (4/5) [in] the process of gathering their minds, where will their bodies stand?" 5

# Commentary to the Middle Mongolian text in the 'Phags-pa script

Line one. As Tuna and Bosson noted, the proper noun Qubilai is spelled as Qubulai here (1962: 10). Tuna and Bosson do not comment on the reasons for this aberrant spelling, but we think that it is probably due to the strong labial attraction caused by both preceding labial consonant [b] and rounded back vowel [u] in the preceding first syllable.

Tuna and Bosson comment that genitive -u in qa'an-u *jarliq* 'edict of the qayan' is again unusual (1962: 9), and this is also correct. The only known exceptions of the use of genitive before jarliq 'edict' and after qa'an 'qayan' seems to be the single usage of qa'an-nu jarliq 'qayan's edict' (MNT §280). The other known exceptions of the use of genitive before jarliq are not frequent, either: bidan-u jarliq 'our edict' (MNT §199), ečige-yin bidan-u jarliq 'our father's edict' (MNT §277), tenggeri-yin jarliq 'edict of Heaven' (MNT §244), ečige-yin jarliq 'father's edict' (MNT §254), ečige-yin čin-u jarliq 'your father's edict' (MNT §277), min-u jarliq 'my edict' (MNT §255), abaga-yin jarliq 'uncle's edict' (MNT §274), but we find throughout the Mongol Niuča tobča'an the phrases like *Činggis qa'an jarliq* (multiple examples) or *Ögödei qa'an* jarliq (MNT §274, §278), qa'an jarliq (MNT §278) without any genitive case marking.

The more typical MM forms are  $uruq \sim uruy$  'descendants, seeds', although like in this text uru'- before the next vowel also occurs (in MNT only). Both MM jarliq 'edict' and  $uruq \sim uruy \sim uru'$ - 'descendants, seeds' are loanwords from Turkic (cf. OT yarliy 'edict' and uruy 'descendants, seeds').

Line two. Tuna and Bosson provide a single comment on this line (also relevant for line four), namely that conditional converb -bAsU usually appears as -'AsU in other 'Phags-pa texts (1962: 9). Meanwhile, the word mona here certainly deserves our interest. Chaghatay text has men-tin sonyura I.OBL-ABL 'after me' corresponding to MM min-u mona qoyina 'after me', cf. identical MM min-u mona qoyina 'after me' in MNT §231. Note that the MM adverbial expression mono (~ mona) qoyina (e.g. MNT §93) is usually understood as 'in the future,

<sup>3</sup> Although Tuna and Bosson use this contrast in their romanization inconsistently, transcribing  ${\it fill}$   ${\it VP}$   ${\it gesü}$  in line three as  ${\it gėsü}$  (1962: 11).

<sup>&</sup>lt;sup>4</sup> The numeration of edicts and lines is given according to Poppe (1957).

<sup>&</sup>lt;sup>5</sup> Implying that their bodies will follow their minds.

hereafter, later' (Haenisch 1939: 110), (Mostaert 1952: 286), (de Rachewiltz 2004.1: 390). While we are not challenging this analysis, MM *mona* ~ *mono* appears to occur exclusively as a bound word only in front of *qoyina* 'after'. This calls for an explanation. Tumurtogoo, in our opinion, defines *mona* correctly as 'this, the same one' (2010: 187). In our opinion, the first definition of *mona* as 'this' is almost correct, although Tumurtogoo does not provide details how he arrived to this conclusion. We believe that etymologically we deal here with a Turco-Mongolian hybrid, where *mon*- represents the oblique stem of OT *bo* 'this'<sup>6</sup>, and -*a* the MM dative-locative case suffix -*A*.

Line three. This line starts with a mysterious word gesü (瓦以列). Tuna and Bosson offer two suggestions: first, that it is a conditional converb ge'e-'esü of the verb ge'e-'to say, to speak', noting at the same time that it would be a "grammatical abomination" here (1960: 10), which is correct; and second, following Nicholas Poppe's suggestion that it might be a casus indefinitus of gesün 'stomach', cf. WM gesüsün, Kalmyk gesn 'stomach', etc. (1960: 16). Tumurtogoo believes that there is a lacuna in front of gesü: [...]gesü (2010: 115), but his proposal is difficult to agree with, because the word in question is found in the absolute beginning of the line, and there are no indications for a lacuna in the manuscript. In our interpretation we follow here as well as elsewhere our methodology of relying on Chaghatay translation. Therefore, mysterious MM gesü corresponds to Chaghatay il 'nation'. Consequently, we believe that MM gesü (恒以例) represents Mongolian ger + nominal suffix -sUn. Several commentaries are in order here. While the usual translational tags for Mongolian ger are 'home, house, yurt', it appears that actually the word has a much wider meaning, including 'homeland, native place, one's nomadic territory, state'7. On the other hand, Turkic  $\dot{e}l \sim il$  means not only 'nation', but also 'tribal union, nation, state, people'. Mongolic -r- is frequently lost before -sUn, cf. MM yesün 'nine'< \*yer-sün and WM čayasun 'paper' < \*caxar-sun<sup>8</sup> (Janhunen 2017, p.c.). The rest of the line is pretty straightforward.

**Line four.** Most of this line is pretty straightforward as well, with the exception of *quriya-ča* that Tuna and Bosson leave without an explanation (1960: 12). While MM *quriya-* is, of course, 'to gather', we believe that *-ča* is a deverbal nominalizer *-čA*, attested in both Middle Mongolian (Godziński 1985: 40) and Written Mongolian

(Poppe 1964: 44). Thus, we can interpret *quriya-ča* as 'gathering, process of gathering'.

**Line five.** The beginning of the line before the sign  $\bigcirc$ is relatively unproblematic, although it is not clear why there is an extra space between 'e (□□) and 'üt-kü-n (凹戶瓜已戶份) in the verbal form 'e'üt-kü-n of the verb 'e'üt- 'to erect', 'to undertake', 'to begin'. Unfortunately, the end of this line is damaged, and one can read only b[...]bayi. Tuna and Bosson read this as bi bayi, lit. 'I am', translating it though as 'I wrote (it)' (1960: 11). But it seems that there is not enough space to write iči between two {b}; and, in addition, we would expect the past form to be biči-bei, written as biči-beyi. Nevertheless, it is quite clear that we have -bayi, and not -beyi as the marker of the past tense here. The partially readable b[...]bayi corresponds to Chaghatay bol-miš 'be-PAST', therefore, given this plus the fact that -bayi requires a verbal stem with [+back] vowel, we believe that b[...]bayi should be reconstructed as b[ol-]bai 'be-PAST'.

The preceding part birm hmd (同时时以) is mysterious, but it must at least partially correspond to jarlig 'edict' in the Chaghatay text. Tuna and Bosson offer a different analysis: bi Ramhamd 'I, Ramhamd' (1960: 11). Let us note that while there is a Pakistani name Ram Hamd, we are not aware of any other similar Islamic names. We believe that the first of these words, which we read as birma is exactly the word for 'edict'. Namely, it represents a loan of Arabic فرمان farmān 'royal edict'. Some additional commentaries are in order. First, there is no /f/ in Middle Mongolian, and no letter corresponding to it in the 'Phags-pa script. There are only letters  $\{p\}, \{b\}, \text{ and } \{v\}, \text{ and the former is used in the initial }$ position just in one word: puryan 'Buddha', which also has an alternative spelling burqan, while the latter as initial is used only in two loanwords from Sanskrit. Thus, the substitution of /f/ by /b/ is expected. Second, Arabic short /a/ is phonetically realized as a front vowel [e] or is [fermān]. Modern Turkish فرمان yolu فرمان has also ferman, whether its Osmanlı predecessor was borrowed directly from Arabic or via Persian, where the same phonetic realization of short /a/ takes place. Note also that the loans of the same word in European languages point to vowel [i], cf. French firman [firmã], English *firman*, 9 and Russian фирман [firman].

We believe that the next word hmd (原配以) should be read as hamd, and like the previous loanword is also a borrowing from Arabic 上上 ḥamd 'praise'. Since in Arabic a modifier follows a head noun, birma hamd < farmān ḥamd is a 'praiseworthy edict'. Note that in the vicinity of all post-velar consonants Arabic short /a/ is phonetically realized as [a], not as [e].

<sup>&</sup>lt;sup>6</sup> This, incidentally, provides external evidence for the point of view that OT had *bo* 'this' (Erdal and Schönig 1990), (Erdal 2004: 199), and not *bu* like most modern Turkic languages.

<sup>&</sup>lt;sup>7</sup> Cf. Buriat *geree hana-* 'to miss homeland, to think about homeland', *gertee yaba-* 'to travel to Buryatia' (Khabtagaeva 2017, p.c.). Also cf. Khalkha *tör ger* ~ *ger tör* 'country, state'.

 $<sup>^{8}</sup>$  But cf. MM  $\check{c}a'alsun$  'paper' (MNT §203), (HYYY 1:10b.8) with -l-, not -r-.

<sup>9</sup> Modern phonetic realizations in British English as [fə:mæn] and in American English as [fə<sup>r</sup>mæn] are secondary developments.

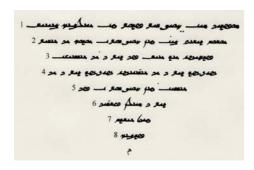
The text in Old Uyghur script is preceded by the following phrase in Arabic (Plates I and II) that we reproduce here together with its romanization and translation:



خط الايُغرُيّة

haṭṭu'l-uyġuruyya In Uyghur script

### Turkic (Pre-Chaghatay) Text in the Old Uyghur script



## Transliteration of the Old Uyghur script

- 1. qwpwl'y q'n šwnkq'r pwlwr t' ''ydmyš ''y mynynk
- 2. 'wrwq l'rym m'n tyn swnkqwr ' 'wlws ny yyq'r
- 3. pwls'nkyz 'yl nynk pwy l'r y ny yyqqynč'
- 4. kwnkkwl l'r y ny yydynkyz kwnkkwl l'r y ny
- 5. yyqq'n tyn swnkqwr ' pwy
- 6. l'r y q'yd' p'rq'y
- 7. t'p y'rlq
- 8. pwlmyš

 $m^{10}$ 

# Transcription of the Old Uyghur script with morphemic analysis

- 1. qubulai han šungar bol-urta ayt-mıš ay men-in
- 2. uruġ-lar-ım män-tin sonġura ulus-nı yıġ-ar
- 3. bol-sa-nız el-nin boy-ları-nı yığ-ġınča
- 4. köngül-läri-ni yığ-ınız köngül-läri-ni
- 5. yıġ-ġan-tın soŋ-(ġu)ra boy-
- 6. ları qay-da bar-ġay
- 7. te-p yarl(1)ġ
- 8. bol-mıš

m

### Glossing of the Turkic text in the Old Uyghur script

- Qubulai khan falcon become-CTMP edict-PERF. PART EXCL I-GEN
- 2. descendant-PLUR-1SP I-ABL after people-ACC gather-AOR
- 3. be-COND-2PP land-GEN body-3PP-ACC gather-CT
- 4. mind-3PP-ACC gather-IMP.2P mind-3PP-ACC
- 5. gather-PAST.PART-ABL after body-
- 6. 3PP where-LOC go-FUT
- 7. say-CSUB edict
- 8. be-PERF.PART

### Translation of the Turkic text in the Old Uyghur script

- (1-2) When Qubilai Khan was dying, [he] said: "Oh, my (2-3) descendants, if you gather the people after me,
- (3-4) gather their minds, until gathering their bodies.
- (4-6) After you have gathered their mind, where can their bodies go to?" (7-8), [so this] was [his] edict.

# Commentary to the Turkic text in the Old Uyghur script

Old Uyghur script was still used by Muslim Turks even after fourteenth and fifteenth centuries in the wide geographical area from Central Asia to Qypchaq steppe in present day Russia. A detailed account of these texts was published more than twenty years ago by Sertkaya (1977). The newest study of such texts was produced recently by Sugahara (2007-2008).

In contrast to the Mongolian text in 'Phags-pa, pre-Chaghatay Turkic text in Old Uyghur script is quite straightforward and does not offer any difficulties. Only a few comments are in order.

Line one. The expression *šuŋqar bol-*, lit. 'to become a falcon' is an honorific metaphor for 'to die' (Barthold 1927: 14-15), (Barthold 1945: 15), (Tuna and Bosson 1962: 14). Temporal converb *-urta* etymologically goes back to a combination of aorist suffix *-ur* and locative *-ta. šuŋqar* 'falcon' is originally a Turkic word, but its phonetic shape suggests that in pre-Chaghatay Turkic it was reborrowed from Mongolian (Doerfer 1963: 360-362, § 237). The original Turkic form is *suŋqur* 'falcon', attested in Late Old Uyghur and Middle Turkic (Clauson 1972: 838), but as a matter of fact there is even earlier Old Uyghur attestation *suŋkur* 'id.' (Ölmez, forthcoming).

The oldest form of Old Turkic verb *ayıt*- was disyllabic and it meant 'to ask' until the end of the thirteenth or the fourteenth century, however, after the fourteenth century it contracted to the monosyllabic form *ayt*- and the meaning changed to 'to speak, to say' (Clauson 1972: 268-269, Röhrborn 2010: 117ff).

 $<sup>^{10}</sup>$  Letter mem (Arabic  $m\bar{\imath}m$ ) is frequently found at the end of Islamic manuscripts.

Although *menin* 'my' is spelled as *mynynk*, the first syllable must have had a mid vowel, therefore we can read *mynynk* as *menin*.

**Line two.** On Turkic *urug* 'descendants, seeds' see Li (1999: 55-58) and Clauson (1972: 214-215).

For the postposition meaning 'after, later', modern Turkic languages normally use either son, or keyin, kiyin or similar words derived from Old Turkic kedin. Nowadays sonra < sonra is preserved only in the Oghuz languages, especially in the West Oghuz group. But there is also limited usage of soŋra in 'East Turkic' texts like Nahju'l-Farādis (1360 AD) or in some Chaghatay texts (Li 2004: 431-436, § 277). The form songura is a typical homorganic obstruent consonant insertion in Chaghatay and also in some Kipchak Turkic languages after the consonant  $\eta$ , like  $k\ddot{o}\eta\ddot{u}l \rightarrow k\ddot{o}\eta g\ddot{u}l$  'mind, heart' on line four of hour text. There are also other similar examples from - the Central Asian Islamic Turkic Languages, especially after 14th century, like  $ye\eta il \rightarrow ye\eta gil$  'light (not heavy)',  $y\ddot{u}\eta\ddot{u}l \rightarrow$  $y\ddot{u}ng\ddot{u}l$  'light (not heavy)',  $tonguz \rightarrow tonguz$  'pig', etc., cf. (Räsänen 1969: 198), and also a similar phenomenon in some Mongolian loanwords from Turkic: Old Turkic öη 'color' → MM, Classical WM öŋge, Old Turkic miŋ 'thousand'  $\rightarrow$  MM *miŋyan* ~ *miŋqa*, Classical WM *miŋya*(n).

The verb *yig*- is just one of many words in Turkic vocabulary for 'to gather', other words, such as *kuvrat*-, *ter*-, *evdi*-, and *yüg*- are also attested. However, the verb *kuvra*- has disappeared over time, and mainly after Mongolian period. Today we can find some derivations from *kuvra*- only in the Turkic languages which have had close contact with Mongolian like Tuvan. In other Turkic languages, and especially in Oghuz we have today another verb *topla*-<sup>11</sup>

Line three. Pre-Chaghatay boy means 'body', also confirmed by MM beye in the 'Phags-pa text. Old Turkic bod had mainly the meaning 'clan', but the semantic shift to 'body' occured after after the thirteenth or the fourteenth centuries. Oghuz Turkic preserved both meanings 'clan' as well as 'body; shape'. For details see Clauson (1972: 296-297).

### CONCLUSION

We respectfully disagree with Tuna and Bosson's judgment that the 'Phags-pa text in Middle Mongolian was just carefully copied by a scribe who had no competence in the language (1960: 9). As a matter of fact, we see no ungrammaticality in this text. We also hope that we have solved in our modest contribution most if not all the puzzles outlined by Tuna and Bosson. Although it is

impossible to date exactly this fragment, we surmise that it originates from one of the Central Asian or Middle Eastern Muslim states, where Middle Mongolian might have still played a role of a written, although not a spoken language. Most likely, this fragment does not postdate the fifteenth century.

#### **ABBREVIATIONS**

1PP	first person plural possessive
2P	second person plural
2PP	second person plural possessive
3PP	third person plural possessive
1SP	first person singular
2SP	second person singular possessive
3SP	third person singular possessive
ABL	Ablative
AOR	Aorist
ACC	Accusative
COND	Conditional converb
CP	Preparatory converb
CS	Subordinative converb
CT	Terminative converb
CTMP	Temporal converb
EXCL	Exclamation
GEN	Genitive
IMP	Imperative
LOC	Locative
MM	Middle Mongolian
NML	Nominalizer
NP	Noun phrase
PAST	Past
PERF	Perfective
PFPART	Present-Future participle
PLUR	Plural
POSS	Possessive

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WM

HYYY Hua-yi yi-yu (華夷譯語), 1389 AD Mecmaʿuʾl-ʿacāyib F[arsça = Persian] 1423 AD, 61a [Istanbul University Library]. MNT Mongyol Niuča Tobčaʾan, ca. 1249 AD

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 $<sup>^{11}</sup>$  Clauson has misread *topul*- in the Tońuquq inscription as *topla*-(1972: 440) as demonstrated by Tezcan (1976: 175-178).

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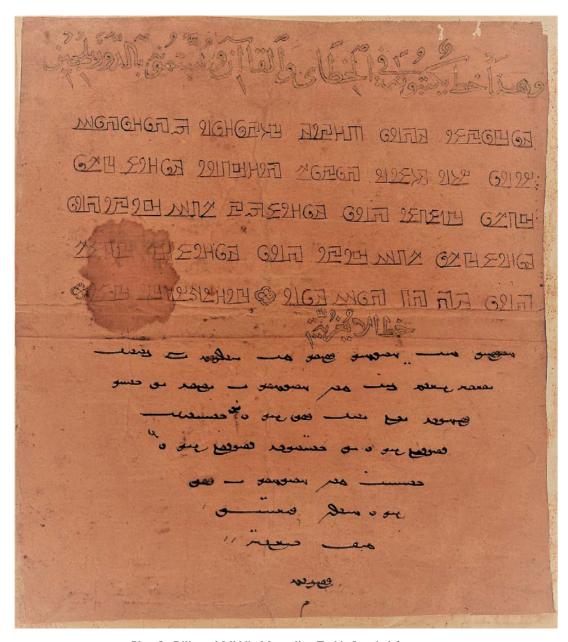


Plate I: Bilingual Middle Mongolian-Turkic Istanbul fragment.

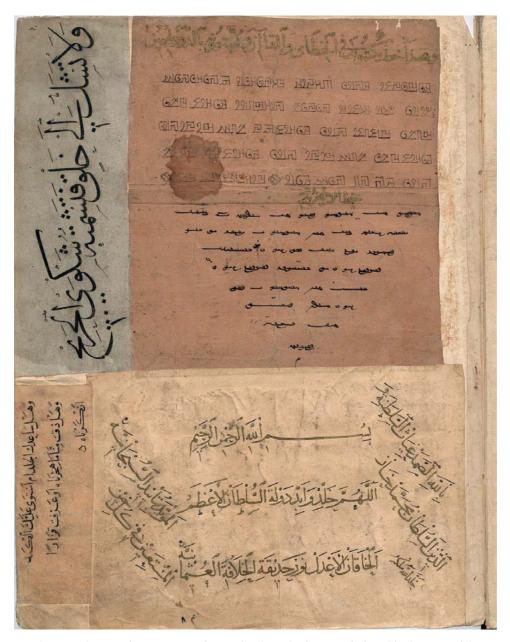


Plate II: The page from Mecma<sup>c</sup>u'l-<sup>c</sup>acāyib, where the fragment is found in the upper right.

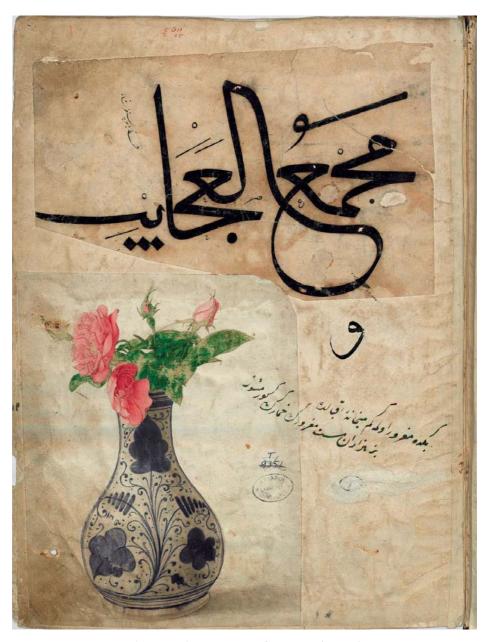


Plate III: The cover page of  $Mecma^cu'l^{-c}ac\bar{a}yib$ .